

REQUIREMENTS FOR FEDERALLY FUNDED PROJECTS (CONSTRUCTION)

PROJECT NAME:	
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This project is funded in part, or in whole, with Federal funds and is subject to the requirements listed below. The requirements contained in this document are intended to cooperate with, to supplement, and to modify the general conditions and other specifications for this project. In case of disagreement with any other section of this bid document/ contract, the requirements contained herein shall govern. **Note: This document shall be included in the bid documents and contracts/ subcontracts for the project.**

1. **General Requirements:** The following requirements are attached:
 - Public Entity Crimes - Section 287.133, Florida Statute
 - Section 109 Housing and Community Development Act of 1974
 - Bonding Requirements for Construction Contracts
 - Nondiscrimination under the Age Discrimination Act of 1975, As Amended
 - Title VI of the Civil Rights Act of 1964
 - Section 3 Clause
 - Work on Nights, Weekends and Holidays
 - Lead-based Paint Poisoning Prevention Act
 - Compliance with Clean Air and Water Acts
 - Buy America Preference for Infrastructure Projects

2. **Forms to be completed and submitted by all bidders with their bids:**

The following forms are attached:

- Non-collusion Affidavit of Prime Bidder
- Anti-kickback Affidavit
- Certification of Eligibility of General Contractor
- Certification of Non-segregated Facilities
- Workforce Projection

3. **Forms for the successful bidder, to be submitted after contract award:**

- Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Participant
- Contractor/ Subcontractor Statement and Acknowledgement (SF1413)

4. **Reports to be submitted by Subrecipient/ Contractor after contract award:**

- Contract Award Report (HUD Form 2516) submit with the first payment request, but no later than September 30 of the year during which the construction contract was awarded; and with the final payment request
- Section 3 Intent to Comply (see Section 3 Guide Book)
- Total Project Labor Hours, Section 3 Workers Labor Hours, Targeted Section 3 Workers Labor hours (see Section 3 Guide Book)
- Narrative Report of Section 3 Outreach Efforts (see Section 3 Guide Book)

5. **Davis-Bacon & Related Acts:**

Federal labor standards provisions of the Davis-Bacon & Related Acts apply to construction projects valued over \$2,000. The following requirements are attached:

- Required Use of Labor Compliance Reporting System for Certified Payrolls and Section 3 Hours
- Display of Posters and Wage Decision
- Guidance to Contractor for Compliance with Labor Standards Provisions
- Exhibit A: Federal Labor Standards Provisions - Form HUD-4010
- Section 3 Guide Book
- The applicable wage decision(s) shown below is attached.
- *Note on Wage Decision updates: The wage decision that is in effect 10 days before the bid opening date shall be applied to the project, provided that the contract is awarded within 90 days of bid opening. For contracts not awarded within 90 days of bid opening, the wage decision in effect at the time of contract award shall be applied to the project.*

Wage Decision(s) No.:	
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PUBLIC ENTITY CRIMES

As provided in F.S. 287.133 by entering into this contract or performing any work in furtherance hereof, the contractor certifies that it, its affiliates, suppliers, subcontractors and consultants who will perform hereunder, have not been placed on the convicted vendor list maintained by the State of Florida Department of Management Services within the 36 months immediately preceding the date hereof. This notice is required by F.S. 287.133 (3)(a)."

SECTION 109 HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974

The Nondiscrimination Clause of the Housing and Community Development Act of 1974 applies to all sections of Title 1 of the Act.

"No person in the United States shall on the ground of race, color, national origin or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds available under this title."

The contractor certifies that the above Section 109 statement forms part of the contract and is in compliance with Section 570.601 of the Community Development Block Grant Regulations.

BONDING REQUIREMENTS FOR CONSTRUCTION CONTRACTS

The requirements of 2 CFR Part 200 are applicable to this project as it relates to bid guarantees, performance bonds, and payment bonds for construction contracts exceeding the **Simplified Acquisition Threshold** as defined in 2 CFR 200.88, as amended.

2 CFR 200.325, Bonding Requirements, established minimum requirements as follows:

1. **Bid Guarantee**

A bid guarantee from each bidder equivalent to five percent (5%) of the bid price.

Each bid shall be accompanied by a bid bond, certified check, cashier's check or other negotiable instrument in the amount of five percent (5%) of the total bid. Said check or bond shall be made payable to the entity soliciting the bid as the owner of the project, and shall be given as a guarantee that the bidder, upon receipt of the notice of intent to award the contract, will enter into an agreement with the owner, and will furnish the necessary documents including, but not limited to: insurance certificates, Payment Bond and Performance Bond; each of the said bonds to be in the amount stated herein. In case of refusal or failure to enter into said agreement, the check or bid bond, as the case may be, shall be forfeited to the owner. All bonds shall be written by a surety company of recognized standing, authorized to conduct business in the State of Florida, and shall have a registered agent in the State of Florida.

2. Performance Bond

A performance bond on the part of the contractor for 100 percent (100%) of the contract price. A "performance bond" is one executed in connection with a contract to secure fulfillment of all the contractor's obligations under such contract.

3. Payment Bond

A payment bond on the part of the contractor for 100 percent (100%) of the contract price. A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

When the successful bidder delivers the executed agreement to the owner, it must be accompanied by a Performance Bond and a Payment Bond, each in the amount of one hundred percent (100%) of the contract price, executed by a corporate surety company of recognized standing, authorized to do business in the State of Florida. The bidder shall state in the bid proposal the name, address, telephone number and full name of the authorized agent of the surety or sureties who will sign these bonds in the event the contract is awarded to the bidder. During the bidding and construction periods the surety company shall hold a current certificate of authority as an acceptable surety on Federal Bonds, in accordance with U. S. Department of Treasury Circular 570, Current Revision.

NONDISCRIMINATION UNDER THE AGE DISCRIMINATION ACT OF 1975, AS AMENDED

To the extent required by law, the Contractor shall comply with the requirements of the Age Discrimination Act of 1975 (P.L. 94-135), as amended, which provides that no person in the United States shall, on the basis of age, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

To the extent applicable to this agreement, the Contractor will comply with, and agrees to include this provision in every subcontract:

Title VI of the Civil Rights Act of 1964 (P. L. 88-352), and the regulations issued pursuant thereto (24 CFR Part 1), which provides that no person in the United States shall on the grounds of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate This assurance/ If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits.

SECTION 3 CLAUSE

1. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended 12 U.S.C. 170 1u (Section 3) and 24 CFR Part 75. The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3 are to the greatest extent feasible directed to low-and very low-income persons, particularly persons who are recipients of HUD assistance for housing. The Section 3 Clause provides that total labor hours for the project are reported; labor hours for Section 3 Workers are reported; and labor hours for Section 3 Targeted Workers as defined in 24 CFR Part 75 are reported by the Borrower to the County for submittal to the Department of Housing and Urban Development.
2. The contractor agrees to include this Section 3 Clause in every subcontract on a Section 3 Project subject to compliance with regulations in 24 CFR Part 75.
3. Non-compliance with HUD's regulations in 24 CFR Part 75 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted projects.

WORK ON NIGHTS, WEEKENDS, AND HOLIDAYS

Neither the prime contractor nor any subcontractor shall be allowed to perform one hundred percent (100%) of their work on this project on nights, weekends, or Palm Beach County recognized holidays. The prime contractor and all subcontractors shall, at a minimum, perform work on this project for the duration of one regular working day. The prime contractor may request a waiver to the above requirement should the nature of the project so necessitate.

LEAD-BASED PAINT POISONING PREVENTION ACT

References:

- 24 CFR Part 570
- 24 CFR Part 35
- Lead-Based Paint Poisoning Prevention Act, as amended
- Residential Lead-Based Paint Hazard Reduction Act of 1992
- 40 CFR Part 745

The aforementioned Acts and the referenced regulations prohibit the use of lead-based paint in housing receiving Federal assistance, and in child occupied facilities. In addition, these regulations require elimination of lead-based paint hazards in housing constructed prior to 1978 which receives Federal assistance.

COMPLIANCE WITH CLEAN AIR AND WATER ACTS

In compliance with the Clean Air Act, as amended, 42 U.S.C. 1857(R) et. Seq., Section 508 of Clean Water Pollution Control Act, as amended 33 U.S.C. 1368 and Executive Order 11738.

1251 et. Seq., and the regulations of the Environmental Protection Agency with respect thereto, the appropriate parts of 40 CFR as amended from time to time. Contractor agrees that:

- (1) No facility to be utilized in the performance of this Contract or any subcontract shall not be a facility listed on the EPA list of Violating Facilities pursuant to 40 CFR 15.20.
- (2) He will comply with all requirements of Section 114 of the Clean Air Act, as amended, (42 USC 1857 c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 USC 1368 relating to inspection, monitoring, entry, reports, and information, as well as all other requirements specified in said Section 114 and Section 308. And all regulations and guidelines issued there under.
- (3) He will promptly notify the Owner of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized or to be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
- (4) He will comply with mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 49-163).
- (5) He will include or cause to be included the provisions of paragraph (1) through (5) of this section in every nonexempt subcontract and that he will take such action as the Government may direct as a means of enforcing such provisions.

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BUY AMERICA PREFERENCE FOR INFRASTRUCTURE PROJECTS

References:

- 2 CFR Parts 184
- 2 CFR Part 200.322
- OMB Memorandum M-22-11
- HUD CPD-23-12

Buy America Preference means the domestic content procurement preference set forth in section 70914 of the Build America, Buy America (BABA) Act, which requires the head of each Federal agency to ensure that none of the funds made available for an infrastructure project may be obligated unless all of the iron, steel, manufactured products, and construction materials used in the project are produced in the United States. "Project" means any activity related to the construction, alteration, maintenance, or repair of infrastructure in the United States.

- (1) The Buy America Preference (BAP) applies to awards where funds are appropriated or otherwise made available for infrastructure projects in the United States, regardless of whether infrastructure is the primary purpose of the award
- (2) Infrastructure encompasses public infrastructure projects which includes at a minimum, the structures, facilities, and equipment for, in the United States, roads, highways, and bridges; public transportation; dams, ports, harbors, and other maritime facilities; intercity passenger and freight railroads; freight and intermodal facilities; airports; water systems, including drinking water and

wastewater systems; electrical transmission facilities and systems; utilities; broadband infrastructure; and building and real property; and structures, facilities, and equipment that generate transport, and distribute energy including electric vehicle (EV) charging.

- (3) The Federal awarding agency should interpret the term “infrastructure” broadly and consider the description provided in paragraph (c) above as illustrative and not exhaustive. When determining if a particular project of a type not listed in the description in paragraph (c) constitutes “infrastructure”, the Federal awarding agency should consider whether the project will serve a public function, including whether the project is publicly owned and operated, privately operated on behalf of the public, or is a place of public accommodation, as opposed to a project that is privately owned and not open to the public.
- (4) Subrecipients must document that they have followed the steps as outlined in the HUD CPD-23-12, to determine applicability of the BAP to projects.
- (5) The Buy America Preference must be included in all awards, subawards, contracts and purchase orders for the work performed, or products supplied under the award. The terms and conditions of a Federal award flow down to subawards to subrecipients unless a particular section of the terms and conditions of the Federal award specifically indicate otherwise.

Buy America Preference

- (a) All iron and steel used in the project are produced in the United States. This means all manufacturing processes, from the initial melting stage through the application of coating, occurred in the United States
 - (b) All manufactured products used in the project are produced in the United States. This means the manufactured product was manufactured in the United States, and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation
 - (c) All construction materials are manufactured in the United States. This means that all manufacturing processes for the construction material occurred in the United States.
- (6) Subrecipients must include the following BABA language in all procurement bid/ contract documents with subrecipients, contractors, developers and subgrantees:

“The Grantee must comply with the requirements of the Build America, Buy America (BABA) Act, 41 USC 8301 note, and all applicable rules and notices, as may be amended, if applicable to the Grantee’s infrastructure project. Pursuant to HUD’s Notice, “Public Interest Phased Implementation Waiver for FY 2022 and 2023 of Build America, Buy America Provisions as Applied to Recipients of HUD Federal Financial Assistance”(88 FR 17001), any funds obligated by HUD on or after the applicable listed effective dates, are subject to BABA requirements, unless excepted by a waiver.”

NON-COLLUSION AFFIDAVIT OF PRIME BIDDER

BEFORE ME, the undersigned authority, personally appeared _____, who, after being by me first duly sworn, deposes and says of his/her personal knowledge that:

- (1) He is _____ of _____, the Bidder that has submitted a Bid to perform work for the following project:

Contract #: _____ Project Name: _____

- (2) He is fully informed respecting the preparation and contents of the attached Bid and of all pertinent circumstances respecting such Bid;
- (3) Such Bid is genuine and is not a collusive or sham Bid;
- (4) Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham Bid in connection with the Contract for which the attached Bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication or conference with any other Bidder, firm or person to fix the price or prices in the attached Bid or of any other Bidder, or to fix any overhead, profit or cost element of the Bid price or the Bid price of any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against Palm Beach County or any person interested in the proposed Contract: and
- (5) The price or prices quoted in the attached Bid are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

Signature: _____

STATE OF FLORIDA
COUNTY OF _____

Subscribed and sworn to (or affirmed) before me, by means of ☐ physical presence or ☐ online notarization, this ____ day of _____, 20__ by _____, who is ☐ personally known to me or ☐ who has produced _____ as identification.

NOTARY SEAL:

Notary Signature: _____

Notary Name: _____
Notary Public-State of Florida

Commission No. _____

ANTI-KICKBACK AFFIDAVIT

BEFORE ME, the undersigned authority, personally appeared _____, who, after being by me first duly sworn, deposes and says:

(1) I am _____ of _____, the undersigned that has submitted a proposal to perform work for the following project:

Contract #: _____ Project Name: _____

(2) I, the undersigned, hereby depose and say that no portion of the sum proposal in connection with the work to be performed at the property identified above will be paid to any employee of Palm Beach County or, _____ as a commission, kickback, reward or gift, directly or indirectly by me or any member of my firm or by an officer of the corporation.

Signature: _____

STATE OF FLORIDA
COUNTY OF _____

Subscribed and sworn to (or affirmed) before me, by means of ☐ physical presence or ☐ online notarization, this ____ day of _____ 20__ by _____, who is ☐ personally known to me or ☐ who has produced _____ as identification.

NOTARY SEAL:

Notary Signature: _____

Notary Name: _____
Notary Public-State of Florida

Commission No. _____

CERTIFICATION OF ELIGIBILITY OF GENERAL CONTRACTOR

BEFORE ME, the undersigned authority, personally appeared _____, who, after being by me first duly sworn, deposes and says of his/her personal knowledge that

- (1) He/she is the _____ of _____, hereinafter referred to as the "General Contractor"; with State of FL Contractor License or Palm Beach County Contractors Certificate of Competency

License/ Certification No: _____ Expiration Date: _____
who submitted a proposal to perform work for the following project:

Contract #: _____ Project Name: _____

- (2) He/she is fully informed that the Proposal submitted for work to be performed under the above mentioned contract, is being funded, in whole or in part, by a Federally-assisted or insured contract; and
- (3) The General Contractor nor any of its officers, partners, owners or parties of interest is not named on the current General Services Administration List of Parties Excluded from Federal Procurement or Non-procurement Programs prior to award of the contract; and
- (4) The General Contractor acknowledges that should the contractor be subsequently found ineligible after award of the contract, its Construction Contract shall be terminated and the matter referred to the Department of Labor, the Department of Housing and Urban Development, or the General Services Administration for its action; and
- (5) The General Contractor acknowledges the responsibility of informing all of its subcontractors that this contract is being funded, in whole or in part, by a Federally-assisted or insured contract; and
- (6) The General Contractor acknowledged the responsibility that all of its subcontractors are to sign a "Certification Regarding Debarment Suspension, Ineligibility and Voluntary Exclusion-Lower-Tier Participant" as a part of its contract with such subcontractors, and that the "General Contractor" will retain such certifications in its files. Furthermore, should the subcontractor be subsequently found ineligible after award of the Construction Contract, its contract with the "General Contractor" shall be terminated and the matter referred to the Department of Labor, the Department of Housing and Urban Development, or the General Services Administration, for its action.

Signature: _____

STATE OF FLORIDA
COUNTY OF _____

Subscribed and sworn to (or affirmed) before me, by means of ☐ physical presence or ☐ online notarization, this ____ day of _____ 20__ by _____, who is ☐ personally known to me or ☐ who has produced _____ as identification.

NOTARY SEAL: _____ Notary Signature: _____

Notary Name: _____
Notary Public-State of Florida

Commission No. _____

CERTIFICATION OF NONSEGREGATED FACILITIES

The undersigned certifies that he/she does not maintain or provide for his/her employees any segregated facilities at any of his/her establishments, and that he/she does not permit his/her employees to perform their services at any location, under his/her control where segregated facilities are maintained. The undersigned certifies further that he/she will not maintain or provide for his/her employees any segregated facilities at any of his/her establishments, and that he/she will not permit his/her employees to perform their services at any location under his/her control where segregated facilities are maintained. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise. The undersigned agrees that (except where he/she has obtained identical certification from proposed subcontractors for specific time periods) he/she will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000, and that he/she will retain such certifications in his/her files.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

Project Name: _____

Company Name and Address: _____

Signature

Name and Title

Date

WORKFORCE PROJECTION

PROJECT NAME:

Instructions: Consult the Project Wage Decision/s and check all the work classifications that you anticipate will be working on this project including the prime contractor's work force and all subcontractors' work forces.

OPERATORS

- ☐ Asphalt Paver
- ☐ Backhoe
- ☐ Backhoe Loader Combo
- ☐ Bobcat/ Skid Steer/ Skid Loader
- ☐ Boom
- ☐ Boring Machine
- ☐ Broom/ Sweeper
- ☐ Bulldozer
- ☐ Concrete Finishing Machine
- ☐ Concrete Pump
- ☐ Concrete Saw
- ☐ Crane
- ☐ Crane, all tower cranes
- ☐ Crane with boom length 150 ft and over
- ☐ Crane with boom length less than 150 ft
- ☐ Crane, all Cranes over 160 Ton Capacity
- ☐ Crane – all Cranes over 15 Ton Capacity
- ☐ Curb Machine
- ☐ Distributor
- ☐ Drill
- ☐ Excavator
- ☐ Forklift
- ☐ Gradall
- ☐ Grader/Blade
- ☐ Grinding/ Grooving Machine
- ☐ Highway/ Parking Lot Striping – Striping Machine Operator
- ☐ Highway/ Parking Lot Striping – Spray Nozzleman
- ☐ Loader
- ☐ Mechanic (type: _____)
- ☐ Milling Machine
- ☐ Oiler
- ☐ Paver – Asphalt, Aggregate, Concrete
- ☐ Piledriver
- ☐ Post Driver (Guardrail/ Fences)
- ☐ Roller
- ☐ Scraper
- ☐ Screed
- ☐ Trackhoe
- ☐ Tractor
- ☐ Trencher

DRIVERS

- ☐ Truck Driver, Distributor Truck
- ☐ Truck Driver, Dump Truck
- ☐ Truck Driver, Flatbed Truck
- ☐ Truck Driver, Lowboy Truck
- ☐ Truck Driver, Slurry Truck
- ☐ Truck Driver, Vactor Truck
- ☐ Truck Driver, Water Truck
- ☐ Truck Driver, Off the Road Truck

OTHER WORK CLASSIFICATIONS

- ☐ Asbestos Worker/ Heat & Frost Insulator
- ☐ Bricklayer
- ☐ Carpenter, includes Form Work
- ☐ Carpenter, excludes Drywall Hanging

- ☐ Carpenter – includes Acoustical Ceiling Installation, Drywall Finishing/ Taping, Drywall Hanging, Form Work, Metal Stud Installation
- ☐ Carpenter – Piledriverman
- ☐ Cement Mason/Concrete Finisher
- ☐ Drywall Finisher/Taper
- ☐ Drywall Hanger
- ☐ Elevator Mechanic
- ☐ Fence Erector
- ☐ Glazier
- ☐ Highway/ Parking Lot Striping - Painter
- ☐ Installer – Guardrail
- ☐ Ironworker – Ornamental, Reinforcing, Structural
- ☐ Tile Setter
- ☐ Laborer – Traffic Control Specialist, incl. placing of cones/ barricades/ barrels – Setter, Mover, Sweeper
- ☐ Laborer – Asphalt, incl Raker, Shoveler, Spreader and Distributor
- ☐ Laborer - Common or General
- ☐ Laborer – Flagger
- ☐ Laborer – Grade Checker
- ☐ Laborer - Landscape and Irrigation
- ☐ Laborer – Mason Tender – Brick
- ☐ Laborer – Mason Tender – Cement/ Concrete
- ☐ Laborer - Common or General, including Cement Mason Tending
- ☐ Laborer – Plaster Tender
- ☐ Laborer – Pipelayer
- ☐ Laborer - Power Tool Operator (Hand Held Drills/ Saws, Jackhammer and Power Saws only)
- ☐ Laborer – Roof Tearoff
- ☐ Painter - brush, roller & spray
- ☐ Electrician
- ☐ Electrician (includes Low Voltage Wiring)
- ☐ HVAC Mechanic (Installation of HVAC Unit Only, Excludes Installation of HVAC Pipe and Duct)
- ☐ Pipefitter (includes HVAC pipe, Unit and Temperature Controls Installations)
- ☐ Sheet Metal Worker (includes HVAC duct installation, excludes Metal Roof installation)
- ☐ Plumber
- ☐ Roofer (includes Built Up, Modified Bitumen, and Shake & Shingle Roofs, Excludes Metal Roofs)
- ☐ Roofer – Metal Roof
- ☐ Sign Erector
- ☐ Sprinkler Fitter (fire sprinklers)
- ☐ Welder
- ☐ Additional Classifications (must specify below):

Submitted by: _____ Company Name: _____ Date: _____

**CERTIFICATION REGARDING DEBARMENT,
SUSPENSION, INELIGIBILITY, AND VOLUNTARY
EXCLUSION-LOWER TIER PARTICIPANT
(SUBCONTRACTORS)**

Certification Regarding Debarment Suspension, Ineligibility and Voluntary Exclusion-Lower-Tier Covered Transactions pursuant to 24 CFR, Code of Federal Regulations, Part 24.510(b) and HUD Handbook 1300.13 REV.1:

By signing and submitting this proposal, the prospective lower-tier participant, certifies that neither it, nor its principals, is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

Further, I, we, provide the certification set out below:

1. I, and any principals of my firm, understand that the certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that I, we, knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies.
2. Further, I, and any principal of my firm, shall provide immediate written notice to the person to which this proposal is submitted if at any time I, we, learn that my/our certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
3. By submitting this proposal, I, and any principals of my firm, agree that should the proposed covered transaction be entered into, I, we, will not knowingly enter into any lower-tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation. In this covered transaction unless authorized by the agency with which this transaction originated.
4. I, and any principals of my firm, further agree by submitting this proposal that I/we, will include this Certification, without modification, in all lower tier covered transactions and in all solicitations for lower-tier covered transacting.

Project Name: _____

Subcontractor Name: _____

Address: _____

State of FL Contractor License or Palm Beach County Contractors Certificate of Competency

License/ Certification No: _____ Expiration Date: _____

By:

Name and Title

Signature

Date

Statement And AcknowledgmentOMB Control Number: 9000-0066
Expiration Date: 5/31/2025**Part I - Statement Of Prime Contractor**

1. Prime Contract Number <i>Enter the Prime's designation or leave blank</i>	2. Date Subcontract Awarded	3. Subcontract Number <i>Enter the Prime's designation or leave blank</i>
4. Prime Contractor	5. Subcontractor	
a. Name	a. Name	
b. Street Address	b. Street Address	
c. City	d. State	e. ZIP Code
6. The prime contract ☒ does, ☐ does not contain the clause entitled "Contract Work Hours and Safety Standards Act -- Overtime Compensation."		
7. The prime contractor states that under the contract shown in Item 1, a subcontract was awarded on the date shown in Item 2 to the subcontractor identified in Item 5 by the following firm:		
a. Name Of Awarding Firm <i>Usually the PRIME, but may be a subcontractor awarding a lower tier subcontract</i>		
b. Description Of Work By Subcontractor		

Description of the work to be performed by the subcontractor listed in Block 5

8. Project <i>Project Name</i>	9. Location <i>Project Address</i>
10a. Name Of Person Signing	11. By (Signature)
10b. Title Of Person Signing	12. Date Signed
TO BE SIGNED BY PRIME CONTRACTOR	

Part II - Acknowledgment Of Subcontractor

13. The subcontractor acknowledges that the following clauses of the contract shown in Item 1 are included in this subcontract:	
Contract Work Hours and Safety Standards Act - Overtime Compensation (If included in prime contract see Block 6)	Construction Wage Rate Requirements
Payrolls and Basic Records	Apprentices and Trainees
Withholding of Funds	Compliance with Copeland Act Requirements
Disputes Concerning Labor Standards	Subcontracts (Labor Standards)
Compliance with Construction Wage Rate Requirements and Related Regulations	Contract Termination - Debarment
	Certification of Eligibility

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Previous Edition Is Not Usable**STANDARD FORM 1413 (REV. 10/2023)**
Prescribed by GSA/FAR (48 CFR) 53.222(e)

14. Name(s) Of Any Intermediate Subcontractors, If Any

A	Tier 2 Subcontractor, if any (any subcontractors listed here must submit a separate Form 1413, where this entity will be listed in Block 5 and Block 15, and the subcontractor that awarded the	C
B	subcontract will be listed in Block 7a	D

15a. Name Of Person Signing	16. By (Signature)	17. Date Signed
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15b. Title Of Person Signing

TO BE SIGNED BY SUBCONTRACTOR LISTED IN BLOCK 5

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 9000-0066. We estimate that it will take .05 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information to: U.S. General Services Administration, Regulatory Secretariat Division (M1V1CB), 1800 F Street, NW, Washington, DC 20405.

***This form will be provided to the Prime Contractor in fillable PDF format after contract award**
**** Block 4 and Block 10a through 12 always contains the Prime Contractor information**
*****Block 7a is the entity that awarded the subcontract, for example the Prime or a Tier 1 sub**
******This Form shall be submitted by all subcontractors. This form must be submitted by the Prime within 14 days of a subcontract award**

Contract and Subcontract Award Report									
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NOTE: For information on how to become a Certified Small Business Enterprise or a Section 3 Business, Contact DHED Office of Small Business Development at 561-233-3600